

stringent hybridization conditions are defined verbatim as in the specification

2. (Thrice Amended) An isolated nucleic acid molecule comprising a nucleotide sequence that:

- C¹
- (a) encodes the amino acid sequence shown in SEQ ID NO:2; and
 - (b) hybridizes under highly stringent conditions to filter-bound DNA in 0.5 M NaHPO₄, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, and washing in 0.1xSSC/0.1% SDS at 68°C.

RESPONSE

I. Status of the Claims

Claim 2 has been amended. Claims 1-8 are therefore presently pending in the case. For the convenience of the Examiner, a clean copy of the pending claims is attached hereto as **Exhibit A**. In compliance with 37 C.F.R. § 1.121(c)(1)(ii), a marked up copy of the original claims is attached hereto as **Exhibit B**.

II. Support for the Amended Claims

Claim 2 has been amended to further clarify the claim, and to recite verbatim the highly stringent conditions in the specification. Amendment of Claim 2 finds support throughout the specification as originally filed, with particular support being found at page 4, lines 11-12.

As the amendment to claim 2 is fully supported by the specification and claims as originally filed, they do not constitute new matter. Entry, therefore, is respectfully requested.

III. Rejection of Claims Under 35 U.S.C. § 101

The Action persists in rejecting claims 1-8 under 35 U.S.C. § 101, allegedly because the claimed invention lacks support by either a specific and substantial asserted utility or a well established utility. Applicants respectfully continue to traverse.

Applicants have presented evidence of multiple utilities in previous responses dated April 5, 2002 and July 12, 2002, that have disclosed a CD20 and IgE receptor like protein and the polynucleotides encoding the same. Applicants have also provided "real world" evidence that CD20

and IgE receptor like protein are the target for human immunological medical therapies. Applicants have also provided evidence that others of skill in the art would also recognize the present invention to be a CD20 and IgE receptor like protein.

Applicants now present further clear and convincing evidence that those of skill in the art would readily recognize the utility the present invention. The Actions in the present case have repeatedly argued that without knowing biological function of the claimed molecule, would not know what to do with the claimed invention. A knockout mouse has been made in which the mouse gene homologous to that represented by SEQ ID NOS: 1 and 2 was disrupted by homologous recombination targeting of exon 1. These knockout mice were subject to a medical work-up using an integrated suite of medical diagnostic procedures designed to assess the function of the major organ systems in a mammalian subject.

Disruption of the mouse gene of the present invention and the protein it encodes resulted in an increase, approximately doubling the number of natural killer (NK) cells that were detected in the blood of animals in which this gene activity had been disrupted. This clearly provides evidence that the nucleic acid and protein of the present invention have the immunologic utility suggested by Applicants and that the molecules of the present invention have clear utility as a drug target for those in the pharmaceutical industry interested in effecting NK cell levels. Applicant encloses for the Examiner's information a recently published in Nature Reviews Drug Discovery, that describes the value and utility of the knockout mouse in identifying drug targets to the pharmaceutical industry (Exhibit C). Given these identifications and accompanying disclosures, the disclosure of the present invention, the wealth of published art, as well as issued U.S. Patents on the utility and use of CD20 and IgE receptor like proteins in, *inter alia*, signal transduction, allergies and asthma along with the identification of the disorders resulting from the disruption of the mouse homolog of the sequences of the present invention, it is clear that the sequences of the present invention represent molecules that encode valuable drug targets. Those of skill in the art would clearly recognize the utility of the present invention as well as be enabled to make and use the present invention without undue experimentation. Thus, the present invention clearly has credible and well established utility. In light of the evidence presented above and in previous responses, Applicants respectfully submit that the present invention is in full compliance with the provisions of 35 U.S.C. § 101, and respectfully request that the rejection be withdrawn.

IV. Rejection of Claims Under 35 U.S.C. § 112, First Paragraph

The Action rejects claims 1-8 under 35 U.S.C. § 112, first paragraph, since allegedly one skilled in the art would not know how to use the claimed invention, as the invention allegedly is not supported by a specific, substantial, and credible utility or a well-established utility. Applicants respectfully traverse.

Applicants submit that as claims 1-8 have been shown to have a specific, substantial, credible and well established utility (see above) and that given the clear identification of the present invention as a CD20 and IgE receptor like protein and the related disclosures, the wealth of published art, as well as issued U.S. Patents on the utility and use of CD20 and IgE receptor like proteins and combined the disclosure of the present invention, those of skill in the art would clearly know how to make and use the present invention without undue experimentation. Applicants therefore respectfully request that the rejection of claims 1-8 under 35 U.S.C. § 112, first paragraph, be withdrawn.

V. Rejection of Claim 2 Under 35 U.S.C. § 112, Second Paragraph

The Advisory Action rejects Claim 2 as allegedly indefinite because the addition of “washing conditions does not over come the rejection because the highly stringent conditions comprises wash conditions and thus the claim read on lowering stringency ending the hybridization.” While Applicants in no way agree with what they believe to be the emphasis of the rejection, that hybridization stringency is not determined by wash conditions, in order to more rapidly progress the case to allowance, Applicants have amended Claim 2 to include *verbatim* the highly stringent hybridization conditions from the specification. Applicants respectfully submit that this rejection has thus been avoided by Applicant’s amendment of Claim 2 and respectfully request withdrawal of the pending rejection of Claim 2 under 35 U.S.C. § 112, second paragraph.

VI. Conclusion

The present document is a full and complete response to the Action. In conclusion, Applicants submit that, in light of the foregoing remarks, the present case is in condition for allowance, and such favorable action is respectfully requested. Should Examiner Li have any questions or comments, or

believe that certain amendments of the claims might serve to improve their clarity, a telephone call to the undersigned Applicants' representative is earnestly solicited.

Respectfully submitted,

January 16, 2003

Date

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PATENT TRADEMARK OFFICE

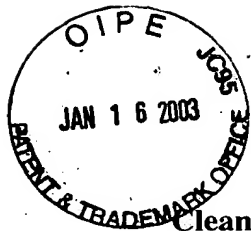


Exhibit A

Clean Version of The Pending Claims in U.S. Patent Application Ser. No. 09/735,712

1. (Amended) An isolated nucleic acid molecule comprising the nucleotide sequence of SEQ ID NO:1.
2. (Thrice Amended) An isolated nucleic acid molecule comprising a nucleotide sequence that:
 - (a) encodes the amino acid sequence shown in SEQ ID NO:2; and
 2. hybridizes under highly stringent conditions to filter-bound DNA in 0.5 M NaHPO₄, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, and washing in 0.1xSSC/0.1% SDS at 68°C to the nucleotide sequence of SEQ ID NO: 1 or the complement thereof.
3. An isolated nucleic acid molecule comprising a nucleotide sequence that encodes the amino acid sequence shown in SEQ ID NO: 2.
4. An isolated nucleic acid molecule comprising a nucleotide sequence that encodes the amino acid sequence shown in SEQ ID NO: 8.
- 5.(New) A recombinant expression vector comprising the isolated nucleic acid molecule of claim 3.
- 6.(New) A host cell comprising the recombinant expression vector of claim 5.
7. (New)A recombinant expression vector comprising the isolated nucleic acid molecule of claim 4.
- 8.(New)A host cell comprising the recombinant expression vector of claim 7.

Exhibit B

Marked Up Version of Amended Claims in U.S. Patent Application Ser. No. 09/735,712

2. (Thrice Amended) An isolated nucleic acid molecule comprising a nucleotide sequence that:

- (a) encodes the amino acid sequence shown in SEQ ID NO:2; and
- (b) hybridizes under highly stringent conditions to filter-bound DNA in 0.5 M NaHPO₄, 7% sodium dodecyl sulfate (SDS), 1 mM EDTA at 65°C, [with wash conditions of] and washing in 0.1xSSC/0.1% SDS at 68°C to the nucleotide sequence of SEQ ID NO:1 or the complement thereof.

KNOCKOUTS MODEL THE 100 BEST-SELLING DRUGS — WILL THEY MODEL THE NEXT 100?

Brian P. Zambrowicz and Arthur T. Sands

The biopharmaceutical industry is currently faced with a tremendous number of potential drug targets identified through the sequencing of the human genome. The challenge ahead is to delineate those targets with the greatest value for therapeutic intervention. Here, we critically evaluate mouse-knockout technology for target discovery and validation. A retrospective evaluation of the knockout phenotypes for the targets of the 100 best-selling drugs indicates that these phenotypes correlate well with known drug efficacy, illuminating a productive path forward for discovering future drug targets. Prospective mining of the druggable genome is being catalysed by large-scale mouse knockout programs combined with phenotypic screens focused on identifying targets that modulate mammalian physiology in a therapeutically relevant manner.

The sequencing of the human genome is catalysing a transformation in drug discovery by offering an unprecedented opportunity for the development of novel therapeutics. Never before has there been access to the code for all human genes and potentially all host targets for pharmaceutical development. Some have estimated there may be as many as 5,000–10,000 new drug targets within the genome¹, and it is not uncommon to hear therapeutic discovery groups comment that they have more targets than they know what to do with. However, are such expansive estimates supported by scientific experience or does a proliferation of non-validated targets threaten to clog screening pipelines globally, drive up research and development costs, and degrade industry productivity? The challenge ahead is to efficiently translate the huge discovery potential of the genome into real products. Now is a good time to critically assess what can be learned from reverse genetic studies of the current targets of the pharmaceutical industry in order to determine the best steps for moving forward with a sound discovery strategy in the post-genomic era. In this review, we evaluate the data from mouse knockouts (KOs) of the targets of the 100 best-selling drugs to establish a retrospective view of the

success of genetic antagonism to model therapeutic intervention in the mammal. The significance of new high-quality targets is considered in the context of how many targets are currently responsible for revenue from the 100 best-selling drugs, and the rarity and value of commercializing truly novel targets. We discuss our ongoing use of large-scale, reverse mouse genetics to discover those genes, among thousands of sequences, that encode truly valuable new targets for pharmaceutical development. Finally, our creation and phenotypic analysis of the physiological functions of more than 750 novel gene KOs allows us to make predictions as to the number of biologically validated, therapeutically relevant drug targets we can realistically expect to discover over the next five years from the human genome.

Target base and new target innovation

It has been suggested that, historically, all drugs have addressed a total of approximately 500 molecular targets¹. This represents a significant number of targets, but does not indicate how many targets provide ongoing commercial value. A recent review identified only 120 targets for all marketed drugs². Similarly, a survey of prescription drugs marketed by the top ten

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pharmaceutical companies reveals that fewer than 100 targets are responsible for all prescription products marketed. A more meaningful view of targets can be obtained by looking at the top-selling drugs of the industry. The 100 best-selling drugs of 2001 are directed at only 43 host proteins, which highlights that the economics of the pharmaceutical industry actually relies on a small pool of targets³.

Another important parameter for drug discovery is the rate at which new targets are successfully commercialized by the pharmaceutical industry. An examination of the new drugs approved over the past nine years gives an indication of the productivity and innovation related to the development of new targets. The most common way to quantify productivity is to look at the number of new molecular entities (NMEs) that are approved each year by the US Food and Drug Administration (FDA) (FIG. 1)⁴⁻⁶. An NME is defined by the FDA as an active ingredient that has never been marketed in the United States. Over the past nine years, an average of 31 NMEs have been approved each year, with a high of 53 in 1996 and a low of 18 in 1994. The number of NMEs has not varied much over the past nine years, although there has been a downward trend over the past couple of years.

Although a look at the number of NMEs approved each year gives some indication of levels of innovation, it does not provide an accurate account of the number of new targets that are commercialized by the pharmaceutical industry each year. A critical assessment of the targets of NMEs reveals that most of the NMEs are 'me too' drugs — that is, drugs that modulate targets for which there are already drugs on the market. For example, in the year 2001, the 24 NMEs approved included additional drugs that modulate cyclooxygenase 2 (COX2), the serotonin 5HT₂ receptor, β_2 -adrenoceptor, histamine H₁ receptor, Factor X and acetyl cholinesterase⁴. In fact, a surprisingly small number of truly innovative host targets or therapeutic proteins — typically two to three — are commercialized each year by the entire pharmaceutical industry (FIG. 1 and TABLE 1).

Although this small number is sobering, the bright side is that these innovator targets provide tremendous potential for the industry. Breakthrough targets deliver completely new mechanisms for treating disease and can therefore rapidly create large new medical markets. Several examples of breakthrough targets include COX2, phosphodiesterase type 5 (PDE5) and BCR-ABL. The COX2 target was first commercialized in 1998 with the approval of celecoxib (Celebrex) for arthritis, which generated US \$1 billion in sales within one year of approval. Sildenafil (Viagra) was also brought to market in 1998 for the treatment of erectile dysfunction and achieved sales of US \$1.5 billion in 2001. These breakthrough targets are not just commercial successes, but also provide hope for the treatment of unmet medical needs. In 2001, the revolutionary drug imatinib mesylate (Gleevec) was approved. Gleevec inhibits the BCR-ABL oncogene and has been a significant breakthrough for the treatment of CHRONIC MYELOID LEUKAEMIA (CML). Treatment with imatinib mesylate has resulted in

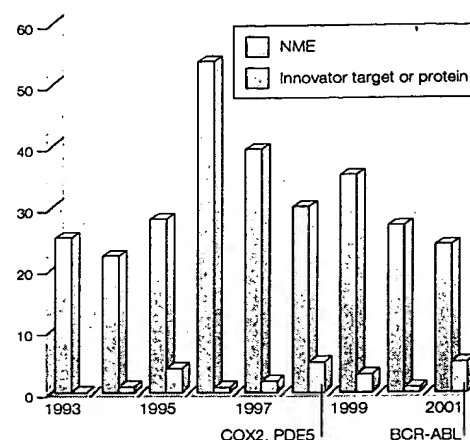


Figure 1 | Worldwide biopharmaceutical productivity: new molecular entities versus breakthrough or innovator targets. This graph shows the number of new molecular entities (NMEs) approved by the US Food and Drug Administration (FDA) each year over the past nine years, and compares this with the number of breakthrough host targets that are commercialized each year. Three examples of breakthrough targets and the years that they were approved are indicated. COX2, cyclooxygenase 2; PDE5, phosphodiesterase type 5.

haematological and cytogenetic remissions in most patients with chronic-phase CML⁷. These examples indicate that although rare, these high-quality breakthrough targets are exceptionally valuable and provide hope for the treatment of unmet medical needs.

Given that the pharmaceutical industry is reliant on fewer than 100 targets and commercializes only two to three new targets per year, it might be worth reassessing what genomics can realistically offer drug discovery in terms of the identification of novel targets. Historic data suggest that the industry has relied, and might well continue to rely, on a relatively small number of targets. We have also collected genetic data (to be discussed later) that indicate that the number of high-quality targets from the genome may not be as large as some have predicted. If the number of targets is limited, then high-quality targets are more like needles in a genomic haystack than abundant low-hanging fruit for the picking. The technologies required for finding these targets should not simply provide a huge number of potential candidates, but must instead provide a strong filter for determining the physiological significance of targets, therefore allowing drug-discovery efforts to focus on only the most promising targets.

Genetics works

The goal of genomics-based drug discovery is to translate gene-sequence data and discoveries into drugs that provide defined physiological and clinical endpoints. The challenge in genomics has been to define a consistent and efficient process for moving from sequence data to drug entity. The confusion in

CHRONIC MYELOID LEUKAEMIA
A leukaemia characterized by the presence of large numbers of abnormal mature granulocytes circulating in the blood.

Table 1 | FDA-accepted breakthrough or innovator targets*

Year	Drug	Innovator target
1994	Glucophage	Perhaps acetyl CoA carboxylase 2
1995	Precoase	α -glucosidase
1995	Cozaar	Angiotensin receptor AT ₁
1995	CellCept	Inosine monophosphate dehydrogenase
1995	Fosamax	Perhaps farnesyl diphosphate synthase
1996	Accolate	Leukotriene receptor
1997	Plavix	Platelet P2Y ₁₂ receptor
1997	Rezulin	Peroxisome proliferator activated receptor
1998	Celebrex	Cyclooxygenase 2
1998	Aggrastat Integrilin	Platelet glycoprotein IIb/IIIa receptor
1998	Viagra	Phosphodiesterase type 5
1998	Enbrel Remicade	Recombinant receptor or antibody to bind tumour necrosis factor α
1998	Herceptin	ERBB2 (also known as HER2/neu)
1999	Rapamune	FK-binding protein 12 and target of rapamycin (TOR kinase)
1999	Xenical	Gastrointestinal lipase
1999	Targretin	Retinoid X receptors
2000	Mylotarg	Antibody to CD33
2001	Gleevec	BCR-ABL
2001	Tracleer	Endothelin receptor
2001	Natrecor	Recombinant B-type natriuretic peptide
2001	Xigris	Recombinant activated protein C
2001	Kineret	Recombinant interleukin 1 receptor antagonist

*Breakthrough or innovator targets accepted by the US Food and Drug Administration (FDA) over the past nine years. This information was compiled on the basis of the FDA Center for Drug Evaluation and Research Reports to the Nation 1993–2001 and Drug Topics Archives, New Drug Approvals 1995–2001.

the field has been exemplified by the coining of various catch phrases for the 'next best technology', including functional genomics, pharmacogenomics, proteomics and METABOLOMICS, to name a few of the many ever-proliferating -omics disciplines. The question remains as to what technologies might allow one to cut through the confusion in the industry and provide consistent value in drug discovery. Mouse genetics has become a powerful approach for defining gene function in the context of mammalian physiology^{8,9}. However, questions concerning the value of mouse genetics for drug discovery are common and varied: What is the correlation between mouse and human physiology? What is the relevance of a KO phenotype to developing a small-molecule drug? Does gene compensation prevent one from identifying the true function of genes? How is a KO throughout development relevant to what a gene does in the adult? And does embryonic lethality prevent the identification of many of the best targets? To address the questions above in an objective manner, we have chosen the 100 best-selling drugs of 2001, identified their targets and compiled the KO phenotypes for those targets. These are the targets that are crucial to the US \$148 billion of prescription drug sales in the United States alone in 2001 (REF. 10).

METABOLOMICS

The quantitative measurement of all low-molecular-weight metabolites in an organism's cells at a specified time under specific environmental conditions.

GASTROESOPHAGEAL REFLUX DISEASE

A disorder in which there is recurrent return of stomach contents back up into the oesophagus, frequently causing heartburn, a symptom of irritation of the oesophagus by stomach acid.

The results of the analysis present a compelling case for the power of gene-knockout technology to describe the action of blockbuster drugs (summarized in ONLINE TABLE 8). The 100 best-selling drugs modulate roughly 43 host targets, which represents approximately 50% of all host targets for which marketed drugs exist. The remaining 14 drugs are anti-infectives with non-host targets, making them unamenable to KO mouse analysis. In general, the targets of the top 100 pharmaceutical drugs are not human genes that directly cause disease, but are key biochemical switches that produce a desirable change in the physiological state of the organism, which in turn alter or abrogate an ongoing disease process. This emphasizes the strategy of identifying key switches in mammalian physiology that can be modulated to provide a therapeutic effect, which is very different from trying to identify human disease genes that may not themselves be amenable to therapeutic intervention. Of the 43 mammalian targets, 34 have been knocked out and 29 of the resulting KO phenotypes have been informative in terms of illuminating gene function and pharmaceutical utility, and providing, in most cases, a direct correlation between KO phenotype and the therapeutic effect of the drug. Overall, concerns about mutations that operate throughout development, gene compensation, embryonic lethality and the differences between mouse and human physiology have not been an issue for the most important targets in the pharmaceutical industry. What follows is a summary of the phenotypes identified on the basis of the KOs of the targets for the 100 best-selling drugs, which have been broken down into their respective areas of therapeutic utility. A full table giving correlations between the 100 best-selling drugs and their KO phenotypes is provided as an online-only feature of the article (ONLINE TABLE 8). Where KO animals exist, this information is also given in print, broken down and grouped according to disease indication.

Gastroesophageal reflux disease

The proton-pump inhibitors used to treat GASTROESOPHAGEAL REFLUX DISEASE (GERD) (for example, omeprazole (Prilosec), lansoprazole (Prevacid and Takepron) and pantoprazole (Pantozol)) target the hydrogen/potassium ATPase in order to lower gastric-acid secretion. This target comprises two subunits — the α and β subunits — that are encoded by separate genes, and which can therefore be knocked out independently. The gastric contents of the α -subunit-KO mice were examined after histamine treatment¹¹. The pH of the stomach contents for α -subunit-null mice was 6.9, compared with a pH of 3.17 for the wild-type controls. The KO mice also displayed histopathological abnormalities that included hyperplasia and disruption of the architecture of the gastric glands (TABLE 2). Similarly, KO of the β subunit resulted in animals with achlorhydric stomach contents, with a pH of 7 relative to a pH of 3.6 for wild-type controls. β -subunit KOs also showed histopathological alterations of the stomach, specifically with respect to parietal cells¹². KO of the α or β subunit of the proton pump results in a phenotype that is exactly what one might expect based upon the known activity of the pharmacological inhibitors.

Table 2 | Best-selling drugs and the KO-mouse phenotype: GERD and haematopoietic disorders*

Drug target	Drug name (utility)	2001 Sales†	Mouse phenotype
H ⁺ /K ⁺ ATPase	Prilosec (gastroesophageal reflux disease)	\$5,684.0	α -polypeptide KO: pH of gastric contents is close to neutral rather than 3.14; β -polypeptide KO stomachs are achlorhydric.
	Prevacid	\$2,951.0	
	Takepron	\$776.0	
	Pantozol	\$609.0	
Histamine H ₂ receptor	Gaster (gastroesophageal reflux disease)	\$896.0	Induction of gastric acid secretion by histamine or gastrin is completely abolished.
	Zantac	\$727.0	
Erythropoietin	Procrit (anemia)	\$3,430.0	Failure to produce red blood cells, embryonic lethal.
	Epogen	\$2,158.0	
Granulocyte-colony-stimulating factor	Neupogen (neutropenia)	\$1,300.0	Deficiency in the total bone marrow cells, colony-forming haematopoietic cells, granulocytes and monocyte precursors in the bone marrow.

*Correlations between the best-selling drugs and the knockout (KO) mouse phenotype for drugs used to treat gastroesophageal reflux disease (GERD) and haematopoietic disorders. †US \$ in millions.

Another target for GERD is the histamine H₂ receptor (HH₂R). Drugs such as Gaster and ranitidine (Zantac) are antagonists of this G-protein-coupled receptor and inhibit gastric-acid secretion. In contrast to the expected fivefold induction of acid secretion by histamine treatment, the induction of gastric-acid secretion by histamine or gastrin was abolished in the KO animals¹³. Basal pH of the gastric contents in *Hh₂r*-null mice was normal. The KO animals also showed hypertrophy of the glandular region of the stomach. In the case of GERD, mouse KOs have clearly been informative as to the function of the two most important targets.

Haematopoietic growth factors

Procrit and Epogen are recombinant forms of erythropoietin that stimulate red-blood-cell production and are used to treat anaemia. KO of erythropoietin or its receptor in mice resulted in identical embryonic lethal phenotypes, in which death occurred at embryonic day 13 (REF. 14) (TABLE 2). However, a further study of the embryos indicated that there was a failure to produce red blood cells and, more specifically, a failure of fetal liver ERYTHROPOIESIS. So although this is one of the rare cases of embryonic lethality in this group of targets, a basic histopathological analysis of the developing embryos quickly produced relevant information that indicated the gene function and pharmaceutical utility of the target.

Recombinant granulocyte-colony-stimulating factor (G-CSF; Neupogen) stimulates neutrophil production and is used to treat NEUTROPENIA. G-CSF^{-/-} mice showed chronic neutropenia with a 70–80% reduction in circulating neutrophils¹⁵. The heterozygous animals had an intermediate phenotype, and had an approximately 30% reduction in circulating neutrophils. KO animals also had bone-marrow deficiencies, including reduced numbers of granulocyte, macrophage and blast progenitor cells. These results are in agreement with the known utility of Neupogen.

Immunological indications

Mouse genetics has also been productive for the evaluation of targets for immune modulation. The histamine H₁ receptor (HH₁R) is the target of a large family of antihistamines, including loratadine (Claritin), fexofenidine (Allegra) and cetirizine (Zyrtec). The KO mouse of this receptor results in a decreased responsiveness of the immune system^{16,17} (TABLE 3). The proliferative response of *Hh₁r*^{-/-} splenic T cells treated with anti-CD3 antibody was reduced five to eightfold and the proliferative response of *Hh₁r*^{-/-} splenic B cells in response to anti-IgM was reduced three to fourfold compared with wild-type controls. When *Hh₁r*^{-/-} splenic T cells from ovalbumin-immunized mice were exposed to ovalbumin, there was a four to sixfold reduction in the proliferative response relative to wild-type T cells. Receptor-null mice treated with a T-cell-independent antigen produced about a ninefold lower titer of IgM, and the KO mice also displayed a significant reduction in IgG3 and IgM levels in response to immunization with the T-cell-dependent antigen ovalbumin. This decreased B- and T-lymphocyte responsiveness in the KO mice points to a role for *Hh₁r* in immune system function. Remarkably, many of the known side effects of the antihistamines, including altered alertness and activity levels, were also observed in the KO mice^{18–20}. The KO mice displayed reduced exploratory behavior in a novel environment as measured by overall movement and rearing in the open-field test. The KO animals also had a less discernable circadian rhythm as measured by activity levels and a large decrease in activity in the dark phase. KO mice showed an increase in the latency of moving from the open to the closed arm in the elevated-plus-maze test, which indicates a decrease in anxiety. In the resident-intruder test, the mutant mice had a prolonged latency of attack as compared with wild-type mice, and the mutant mice also showed a decrease in nociception response in the late phase of the formalin paw test. This emphasizes the value of KO mouse studies for clarifying the on-target effects that might otherwise be mistakenly considered off-target side effects.

ERYTHROPOIESIS

Red blood cell development, in which a pluripotent stem cell produces, by a series of divisions, committed stem cells that give rise to cells that will divide only a few more times to produce mature erythrocytes.

NEUTROPENIA

A decrease in neutrophil numbers in the peripheral blood.

Table 3 | Best-selling drugs and the *KO*-mouse phenotype: immunology*

Drug target	Drug name (utility)	2001 Sales [†]	Mouse phenotype
COX2	Celebrex (arthritis) Vioxx	\$3,114.0 \$2,555.0	Reduced inflammation, significant reduction in collagen-induced arthritis, reduced febrile response and decreased polyp formation.
COX1 and COX2	Voltaren (arthritis)	\$631.0	COX1: decreased acute inflammation, decreased pain, decreased clotting, increased sensitivity to gastrointestinal damage, decreased polyp formation, see COX2.
Leukotriene receptor	Singulair (asthma)	\$1,375.0	Decreased extravasation in intraperitoneal zymosan challenge; 5-lipoxygenase KO mice have decreased airway responsiveness in the ovalbumin challenge and reduced pulmonary fibrosis.
TNF- α	Enbrel (arthritis) Remicade	\$762.0 \$721.0	Decreased contact hypersensitivity and decreased IgG and IgE.
Histamine H ₁ receptor	Claritin (allergy) Allegra Zyrtec	\$3,159.0 \$1,577.0 \$990.0	Decreased T- and B-cell response, decreased alertness and altered activity level.
Inosine monophosphate dehydrogenase 2	CellCept (transplant rejection)	\$625.0	Embryonic lethal; heterozygotes show significant impairment of T-cell activation and function.
Glucocorticoid receptor	Flovent (asthma) Advair Pulmicort Flonase	\$1,317.0 \$1,224.0 \$775.0 \$726.0	Null mutations result in early lethality; point mutation used to demonstrate role in inflammation.
Calcineurin	Sandimmun (transplant rejection)	\$1,083.0	KO of calcineurin A β exhibit reduced T cells in periphery, reduced thymocytes, defective lymphocyte activation and impaired allograft rejection.

*Correlations between the best-selling drugs and the knockout (KO) mouse phenotypes for drugs used for immune modulation, allergy, inflammation, arthritis and transplantation. [†]US \$ in millions.

Cyclooxygenase-1 and -2 (COX1 and COX2) are important mediators of inflammation and crucial targets for pharmaceutical intervention. The COX2-specific inhibitors, such as celecoxib (Celebrex) and rofecoxib (Vioxx), have achieved very large sales in the short time that they have been on the market. They are approved for the treatment of arthritis on the basis of their ability to decrease inflammation. The less selective non-steroidal anti-inflammatory drugs (NSAIDs), such as diclofenac sodium (Voltaren), aspirin and ibuprofen, target both the COX1 and COX2 isozymes. These general inhibitors are used to treat inflammation, pain, thrombosis and familial adenomatous polyposis.

The *Cox1* and *Cox2* KO mice have been studied extensively to determine the roles of COX1 and COX2 in the inflammatory process^{21–25}. *Cox1*^{−/−} mice have impaired platelet aggregation, as might be expected on the basis of the anti-thrombotic effects of NSAIDs such as aspirin²⁶. *Cox1*^{−/−} female mice have problems with parturition, which results in most offspring being born dead. There is roughly a 70% reduction in arachidonic-acid-induced skin edema in homozygotes, and an intermediate level of reduction in heterozygotes, compared with wild-type controls. *Cox1* mutants also produce about 25% less prostaglandin (PG) after carrageenan treatment in the air-pouch model²⁷. PGD₂ production in the first 2 hours after mast-cell activation is eliminated in *Cox1*^{−/−} mast cells²⁸.

In spite of a greater than 90% reduction in basal-tissue PG production, there are no spontaneous gastrointestinal lesions observed. However, *Cox1*^{−/−} mice are more susceptible to gastrointestinal tissue damage in response to ingestion of dextran sodium sulfate²⁹. There is also a significant decrease in nociception as determined by a delayed response in the hot-plate assay, and a decreased response in the stretching assay in both homozygotes and heterozygotes³⁰. Finally, anticancer effects are observed in the *Cox1*^{−/−} and *Cox1*^{+/−} mice. *Cox1*^{−/−} mice have a 70% reduction in intestinal polyp formation on the *Min* background³¹ and a 75% reduction in skin papillomas in the two-stage skin carcinogenesis model³².

Cox2 KO mice are infertile due to impaired ovulation, implantation and decidualization^{26,33}. Adult mice have kidney defects characterized by decreased numbers of, and poorly developed, glomeruli, dilated and atrophied renal tubules and they eventually succumb to end-stage renal disease. *Cox2*^{−/−} mice have a reduced febrile response to lipopolysaccharide³⁴. In other tests of inflammation, *Cox2*^{−/−} mice have a 75% reduction in the production of PG after CARRAGEENAN treatment in the air-pouch model²⁷, their mast cells do not produce late-stage PGE₂ after activation²⁸ and KO animals display decreased synovial inflammation and joint destruction in the collagen-induced arthritis model³⁵. Mutation of *Cox2* also results in an anticancer effect as indicated by

DECIDUALIZATION

Formation of the deciduas, the inner layer of the wall of the uterus, which envelops the embryo, forms a part of the placenta and is discharged with it.

CARRAGEENAN

A sulphated cell-wall polysaccharide found in certain red algae, which contains repeating sulphated disaccharides of galactose, and sometimes anhydrogalactose, and is used to induce an inflammatory lesion when injected into experimental animals.

an 86% reduction in intestinal polyps in the *Apc* background³⁶ and a 70–80% reduction in the *Min* background³¹. In addition, *Cox2*^{-/-} mice have a 75% reduction in papilloma formation in the two-stage skin carcinogenesis model³².

In summary, the knockouts of *Cox1* and *Cox2* have helped define the roles of these two Cox isozymes in the inflammatory process and have provided other data indicating additional uses for the currently marketed COX inhibitors in areas such as cancer.

Leukotrienes are also potent mediators of inflammation derived from arachadonic acid. The leukotrienes play a major role in the pathophysiology of asthma, and leukotriene-receptor antagonists, such as montelukast sodium (Singulair), are used to treat asthma. KOs have been made for the enzyme 5-lipoxygenase (5-LO; encoded by *Alox5*), the committed step in leukotriene biosynthesis, and the cysteinyl leukotriene receptor 1 (CysLT₁R), and have showed the importance of both ligand and receptor in the inflammatory process. The ovalbumin airway-responsiveness assay, which measures the airway reactivity after antigen exposure, is often used as a model for asthma. In this assay, mice are sensitized by intraperitoneal injection of ovalbumin and are later challenged with aerosols of ovalbumin. In contrast to wild-type controls, 5-LO-deficient mice showed reduced cholinergic responsiveness, airway eosinophilia and immunoglobulin output in the airway-responsiveness assay³⁷. Pulmonary fibrosis is a common result of untreated asthma and fibrosis can be induced in mice using bleomycin. *Alox5*-KO animals showed reduced pulmonary fibrosis with reduced histological collagen, reduced hydroxyproline levels and no increase in lung inflammatory cells after bleomycin treatment³⁸. The *Alox5*-KO animals were also more resistant to platelet-activating-factor-induced endotoxic shock and had a decreased swelling response to arachadonic acid in the contact-hypersensitivity assay³⁹. *CysLT₁R*^{-/-} mice showed reduced plasma-protein extravasation in the zymosan-induced peritonitis model⁴⁰. KO mice also displayed decreased plasma-protein extravasation during passive anaphylaxis mediated by IgE. Therefore the *Alox5* and *CysLT₁R* KOs have demonstrated the role of leukotrienes in inflammation and asthma.

Eterncept (Enbrel) and infliximab (Remicade) are two anti-inflammatory drugs used to treat rheumatoid arthritis. Both drugs have a similar method of action — that is, the blocking of tumour necrosis factor α (TNF- α). Eterncept is a recombinant fusion protein comprising the extracellular ligand-binding domain of the TNF receptor and the Fc portion of immunoglobulin (Ig), whereas infliximab is a recombinant anti-TNF- α antibody. *Tnf- α* ^{-/-} mice completely lack splenic primary B-cell follicles and are unable to form germinal centers^{41,42}. They also have impaired humoral response to either T-cell-dependent or T-cell-independent antigens. These abnormalities can be measured by quantifying serum Ig levels. *Tnf- α* ^{-/-} mice have severely impaired IgG and IgE antibody responses. *Tnf- α* -null mice also show decreased contact hypersensitivity as measured in

the oxazalone-contact hypersensitivity assay. These changes in inflammatory and antibody responses indicate the importance of TNF- α in immune responses.

Mycophenolate (CellCept) is an immunosuppressive drug used to prevent transplant rejection. Mycophenolate inhibits inosine monophosphate dehydrogenase (IMDH), which is the rate-limiting enzyme in *de novo* guanine nucleotide biosynthesis. T and B cells are crucially dependent for their proliferation on this *de novo* pathway versus the salvage pathway, in contrast to other tissues. KO of the IMPDH II gene results in embryonic lethality⁴³; however, heterozygotes show a decrease in T-cell-proliferative response after stimulation with anti-CD28 and anti-CD3 antibodies. This decrease is enhanced by a second mutation in the hypoxanthine guanine phosphoribosyl transferase (*Hprt*) gene that is required for the salvage pathway. Once again, the impairment of T-cell function correlates well with the known effects of the IMDH inhibitors.

The glucocorticoid receptor (GR) is the target of the gluco- and corticosteroids (for example, fluticasone propionate (Flovent and Flonase), fluticasone propionate and salmeterol (Advair), and budesonide (Pulmicort)), which are used to treat inflammation. These drugs bind to and activate the GR, which results in alterations in gene expression. KO of the GR results in perinatal lethality, which makes it difficult to study inflammatory response in these animals⁴⁴. In this case, the null mutation was uninformative as to the role of the GR in inflammatory pathways. A subsequent point mutation was made and used to replace the endogenous GR⁴⁵. This point mutation abolishes the DNA-binding function of the GR but the receptor maintains its protein–protein interaction capabilities. This mutant has been used to dissect the functions of the GR that are dependent on DNA-binding versus protein–protein interactions, and has been used to indicate that the anti-inflammatory function of the glucocorticoids is not dependent upon the DNA-binding function.

Cyclosporine (Sandimmun) is an immunosuppressant used to prevent transplant rejection and is thought to work by inhibiting calcineurin catalytic activity in lymphocytes by forming complexes with cyclophilins and FK506-binding proteins. The predominant calcineurin isoform in lymphocytes is calcineurin A β (CnA β). KO of CnA β results in a significant reduction in CD3-positive T-cells, a 75% and 64% reduction in CD4- and CD8-positive thymocytes, respectively, and a decrease in thymic cellularity⁴⁶. *Ppp3cb* (also known as CnA β)^{-/-} splenocytes showed a significant reduction in proliferative response to stimulation by anti-CD3 antibodies or PMA/ionomycin. Most impressively, 42% of *Ppp3cb* KO mice were promiscuous for the development of allogeneic tumours, which demonstrates impaired allograft rejection compared with 0% for control mice.

Central nervous system and neurology

Neurology is a particularly challenging field for drug discovery because of the difficulty of translating the results of behavioral tests in mice to what might be of therapeutic value in humans. Fortunately, there is a

growing body of data concerning the KO phenotypes of known CNS drug targets, which makes it possible to predict how mouse behavioural phenotypes may correlate with human diseases such as depression. In addition, a growing number of pharmacological agents used to treat human neurological disorders have been tested in mice using the standard mouse behavioural tests, thereby benchmarking what behavioural changes might signify a therapeutic potential in humans.

The atypical antipsychosis drugs such as olanzapine (Zyprexa), risperidone (Risperdal) and quetiapine fumarate (Seroquel) are a more complicated story, as they target more than one receptor. These drugs act primarily on the dopamine and serotonin receptors, and to a lesser extent the histamine receptors. Although one cannot point to the KO of a single target in this case, the phenotypes of KOs of these receptors include effects on movement, activity, anxiety, alertness and other behavioral phenotypes that might have provided some clues as to potential neuropsychiatric utility for these targets^{18,19,47-60} (TABLE 4). These KOs score in many of the standard mouse behavioural tests. More importantly, KOs of single members of these gene families may lead to information that can direct future drug development for the production of more specific inhibitors that could provide enhanced therapeutic value with minimization of side effects.

The serotonin transporter is the target for a large number of the selective serotonin-reuptake inhibitor (SSRI) class of drugs (for example, paroxetine HCl (Paxil), sertraline HCl (Zoloft), fluoxetine (Prozac), venlafaxine HCl (Effexor) and citalopram HBr (Celexa)) that are used to treat depression. We have knocked out this transporter and have observed altered open-field behavior (B. P. Zambrowicz and A. T. Sands, unpublished data). The open-field test is often used to indicate effects on anxiety and depression, and in our work results from this test direct us to do more detailed tests to uncover the potential of a target in the areas of anxiety and depression.

Bupropion HCl (Wellbutrin) is an antidepressant/anxiolytic that inhibits both the dopamine and noradrenaline transporters. Both of these transporters have

been knocked out in mice^{61,62}. Noradrenaline KO mice scored in two of the classic tests used to evaluate antidepressants. In the tail-suspension and forced-swim tests the mutant animals displayed increased struggle and swim times, respectively, which indicates an antidepressant effect. These animals also habituated more rapidly to a novel environment as measured by the open-field test. KO of the dopamine transporter resulted in animals with highly elevated spontaneous locomotor activity in the open-field test. KO animals also took longer to habituate to the open field test and were much more active than wild-type animals during both the light and dark cycles. So both dopamine and noradrenaline transporter KOs produce results suggesting potential value in the area of depression.

Zolpidem tartrate (Ambien and Stilnox) are two drugs used to treat insomnia, and they target and activate the GABA (γ -aminobutyric acid) receptor. The GABA receptor is important for inhibitory neurotransmission and this receptor is also the target of the benzodiazepines — the most common anxiolytic drugs. KO of the GABA_A β_3 subunit resulted in reduced viability and the surviving animals showed hyperactivity and hyperresponsiveness to sensory stimuli⁶³. These animals also were observed to spin in tight circles for long periods of time and they had decreased motor coordination. As expected, genetic antagonism of the GABA receptor produces a hyperactive phenotype which is the opposite of the sedative effects produced by GABA receptor agonists that are used as drugs to treat anxiety and sleep disorders.

In the area of pain, the μ -opioid receptor (Oprm) is the target of morphine and other analgesic drugs (fentanyl (Duragesic) and tramadol HCl (Ultram)), which act as agonists of the receptor. Not surprisingly, the *Oprm*-KO animals have an increased sensitivity to pain⁶⁴. In the hot-plate assay, both heterozygotes and homozygotes displayed a decreased latency of response. KO mice also showed no response to morphine in the hot-plate assay. The *Oprm*-KO is another excellent example in which genetic inhibition of the target results in effects opposite to those of the agonist drugs used to treat pain.

Table 4 | Best-selling drugs and KO-mouse phenotype: CNS and neurology

Drug target	Drug name (utility)	2001 Sales*	Mouse phenotype
Serotonin transporter	Paxil (depression)	\$2,673.0	Altered open-field behaviour.
	Zoloft	\$2,366.0	
	Prozac	\$1,990.0	
	Effexor	\$1,542.0	
	Celexa	\$714.0	
Dopamine, serotonin and histamine receptors	Zyprexa (psychosis)	\$3,087.0	Multiple targets; however, related KOs display behavioural phenotypes (movement, activity and anxiety).
	Risperdal	\$1,845.0	
	Seroquel	\$700.0	
Dopamine and noradrenaline transporters	Wellbutrin (depression)	\$931.0	Multiple targets; however, increased activity levels (dopamine transporter); increased struggle in tail suspension (noradrenaline transporter).
GABA receptor	Ambien (insomnia)	\$704.0	Hyperactive, hyper-responsive.
	Stilnox	\$902.0	
μ -opioid receptor	Duragesic (pain)	\$875.0	Increased sensitivity to pain.
	Ultram	\$601.0	

*US \$ in millions.

Table 5 | Best-selling drugs and KO-mouse phenotype: menopause, metabolism and hypertension*

Drug target	Drug name (utility)	2001 Sales†	Mouse phenotype
Estrogen receptor	Premarin (menopause/osteoporosis)	\$2,074.0	Reproductive defects, reduced bone mineral density.
	Evista	\$665.0	
	Nolvadex (breast)	\$630.0	
Probably farnesyl diphosphate synthase	Fosamax (osteoporosis)	\$1,760.0	Embryonic lethal; heterozygous males have increased bone mineral density.
	Aredia (hypercalcemia)	\$752.0	
Unknown target, perhaps ACC2	Glucophage (diabetes)	\$2,049.0	Anti-diabetic effects seen in ACC2 knockouts.
Insulin	Humulin/insulin (diabetes)	\$1,061.0	No phenotype for KO of insulin I or insulin II; insulin-receptor-KO mice display hyperglycemia, ketoacidosis, increased triglyceride levels and fatty livers; 10% of heterozygotes develop diabetes.
	Humalog	\$628.0	
PPAR- γ	Avandia (diabetes)	\$1,018.0	Increased insulin sensitivity in heterozygotes; embryonic lethal homozygotes.
Lipases	Xenical (obesity)	\$570.0	PLRP2, decreased fat absorption, carboxyl ester lipase reduced dietary cholesterol ester absorption.
ACE	Vasotec (hypertension)	\$1,050.0	Low blood pressure.
	Prinivil	\$1,260.0	
	Zestril	\$1,097.0	
	Lotensin	\$899.0	
	Tritace	\$635.0	
	Accupril	\$605.0	
Angiotensin receptor AT ₁	Cozaar (hypertension)	\$1,905.0	Low blood pressure.
	Diovan	\$1,113.0	

*Correlations between the best-selling drugs and the knockout (KO) mouse phenotype for drugs used to treat menopause and osteoporosis, diabetes, metabolism and obesity, and hypertension. †US \$ in millions.

Although making the transition from mouse behavioral phenotypes to human drug development remains challenging, KOs provide a powerful method for identifying novel mechanisms for modulating mammalian behavior. The ability to directly measure genetic effects on mammalian behavior, whether done as part of a genetic screen or to test a specific hypothesis, provides advantages in an area where few alternative methods for novel target identification exist.

Menopause and osteoporosis

The oestrogen receptors α and β (ER- α and ER- β) are the targets for the agonist drugs oestrogen (Premarin) and raloxifene HCl (Evista), which are used to treat the symptoms of menopause, including osteoporosis. These receptors are also the targets of anticancer antagonist drugs, such as the breast-cancer drug tamoxifen citrate (Nolvadex), a potent anti-oestrogen that causes the regression of established tumours. Appropriately, KO of these receptors resulted in both reproductive and bone effects (TABLE 5). KO of *Er- α* results in sterility for both males and females and complete absence of breast-tissue development^{65,66}. In addition, both oogenesis and spermatogenesis are defective. An analysis of bone mineral content (BMC) using dual X-ray absorptiometry (DXA or DEXA) revealed a marked decrease in BMC in *Er- α* $-/-$ males⁶⁷. There was an approximately 20% decrease in BMC in total body as well as in regional measurements of vertebra and femur. A decrease in total areal bone mineral density was also observed in total body and

femur of *Er- α* $-/-$ mice, as was an approximately 20% decrease in BMC/body weight. *Er- β* $-/-$ female mice are fertile but produce fewer and smaller litters, whereas males remain fertile⁶⁸. These phenotypes correlate well with the uses of both ER agonists to fight the effects of menopause, including osteoporosis, and antagonist drugs to fight proliferation in breast cancer.

Another important class of drugs used to treat osteoporosis is the bisphosphonates such as alendronate sodium (Fosamax) and pamidronate disodium (Aredia), which act by inhibiting bone resorption by osteoclasts. Recent reports indicate bisphosphonates are potent and specific inhibitors of farnesyl diphosphate synthase and this may be the mechanism of drug action^{69–72}. We have knocked out the farnesyl diphosphate synthase gene in mice and our preliminary data indicate that this mutation results in embryonic lethality, but that the heterozygous males have increased bone mineral density as measured by DEXA and bone microCT (B. P. Zambrowicz and A. T. Sands, unpublished data). This increased bone mineral density correlates well with the inhibition of osteoclast function that results from treatment with bisphosphonates.

Diabetes, metabolism and obesity

Glucophage (metformin) is an anti-diabetic drug with an unknown target. It is, however, known to decrease the levels of acetyl-CoA carboxylase 2 (ACAC2) activity in the liver and to induce fatty-acid oxidation⁷³. By histopathological analysis, *Acac2* $-/-$ mice had fewer lipid

Table 6 | Best-selling drugs and KO mouse phenotype: blood, skin and autonomic regulation*

Drug target	Drug name (utility)	2001 Sales [‡]	Mouse phenotype
P2Y ₁₂ receptor	Plavix (atherosclerosis)	\$1,350.0	Decreased platelet aggregation.
Factor X	Lovenox/Heparin (thrombosis)	\$1,301.0	Neonatal death due to massive bleeding.
β -adrenoceptor	Serevent (asthma)	\$929.0	Complicated by multiple targets; however, target implicated for cardiovascular disease and KOs have defined the targets for β -blockers, impaired relaxation of heart; no data related to bronchodilation
	Toprol (hypertension)	\$722.0	
Muscarinic receptor M ₃	Detrol (overactive bladder)	\$617.0	Increased urine retention in males.
Retinoic acid receptor	Accutane (acne)	\$690.0	Gross development defects and early lethality.

*Correlations between the best-selling drugs and the knockout (KO) mouse phenotype for drugs used to treat blood coagulation and thrombosis, autonomic regulation and dermatology. [‡]US \$ in millions.

droplets in their livers than wild-type mice⁷⁴ (TABLE 5). A measurement of total lipid and triglycerides in the liver indicated a 20% drop in total lipid levels and an 80–90% drop in triglycerides. The *Acac2*^{-/-} mice also had 20% lower glucose levels, 60% lower fatty-acid levels and 30% higher triglyceride levels in the blood. The *Acac2*^{-/-} mice displayed increased fatty-acid oxidation and an examination of food intake and growth indicated that these mice ate 20–30% more food than wild-type mice, yet weighed 10% less and accumulated less fat. For instance, the epididymal fat pad was reduced in weight by 50% in *Acac2*^{-/-} mice relative to wild-type controls. Although still speculative, the KO phenotype, as well as data demonstrating the decrease in *Acac2* activity and increase in fatty acid oxidation after metformin treatment, suggests that the inhibition of ACAC2 may be an important component of metformin action.

Insulin is used to control blood glucose levels in diabetes. Insulin is encoded by two genes in mice — *insulin I* (*InsI*) and *insulin II* (*InsII*) — and there is a single insulin receptor. KO of either *InsI* or *InsII* alone results in no discernable phenotype, but double mutants have an acute diabetic phenotype⁷⁵. KO of the insulin receptor results in death within 7 days of birth^{76,77}. The receptor-null animals have hyperglycemia, ketoacidosis, increased plasma triglyceride levels and decreased liver glycogen. Histopathological analysis reveals a fatty liver in mutant mice. In addition, 10% of the heterozygotes develop diabetes. Although loss of either insulin gene alone does not provide information about gene function, the double-KO or receptor-KO mice do help elucidate the function of insulin for glucose homeostasis. Additionally, two dominant missense mutations in the *InsII* gene (Mody and Akita) act in a dominant-negative fashion, most likely by interfering with normal heterodimer formation^{78,79}. Both mutations result in mice with diabetic phenotypes that include hyperglycemia.

The thiazolidinediones such as rosiglitazone maleate (Avandia) are a new class of drugs, and are agonists of peroxisome-proliferator-activated receptor γ (PPAR γ) that are used to increase insulin sensitivity in the treatment of type II diabetes. KO of *Ppar γ* in mice results in embryonic lethality, but heterozygotes challenged on a

high-fat diet display an anti-diabetic phenotype⁸⁰. Heterozygotes had decreased levels of circulating insulin at time points taken during the glucose-tolerance test. Glucose clamps were used to demonstrate an increased rate of glucose disposal and a decreased rate of liver glucose production after insulin induction. Therefore, the *Ppar γ* heterozygous mice have an insulin-sensitive phenotype. This is a paradoxical observation, as the thiazolidinediones are agonists and not antagonists of PPAR γ . Perhaps this will require further studies to determine the mechanism of action of these drugs. However, the heterozygote phenotype would clearly direct one down the path for studying the role of PPAR γ in insulin sensitivity and type II diabetes.

Orlistat (Xenical) is an anti-obesity drug that acts by inhibiting gastric and pancreatic lipases, which results in an inability to absorb dietary fats. Two lipases that have been knocked out in mice are pancreatic-lipase-related protein 2 (*Pnliprp2*)⁸¹ and carboxyl ester lipase⁸². The *Pnliprp2* mutants have decreased body weight from day 4 to weaning, diarrhea and the fat content of their dried faeces is increased 10- to 15-fold, and has a high proportion of the fat as indigested di- and triglycerides. The carboxyl-ester-lipase-KO animals absorb only 50% of the cholesterol ester of wild-type mice. Both these KOs demonstrate the importance of lipases in fat digestion and absorption.

Hypertension

KOs have been extremely useful in the field of hypertension. Two large classes of anti-hypertensives target two components of the renin/angiotensin pathway. These include the inhibitors of the angiotensin-converting enzyme (enalapril maleate (Vasotec), lisinopril (Prinivil and Zestril), benazepril HCl (Lotensin), ramipril (Tritace) and quinapril HCl (Accupril)) and the inhibitors of the angiotensin receptor AT₁ (Cozaar and Diovan). KO of either of these targets results in a significant decrease in resting blood pressure in mice^{83–86} (TABLE 5). The receptor-null and heterozygous mice have a decrease in systolic blood pressure as measured by tail cuff of 24 and 12 mm of Hg, respectively. KO of the angiotensin-converting enzyme gene resulted in an

approximately 33 mm decrease in systolic blood pressure by tail cuff and one report indicated an intermediate effect in heterozygous male mice⁸⁶. Heterozygote effects may demonstrate a target dosage effect and identify a rate-limiting step in a pathway. Such findings could identify targets that may be more amenable to drug discovery, as they would not require 100% small-molecule inhibition in order to provide a therapeutic effect and might have a greater therapeutic window.

Blood coagulation and thrombosis

The anti-thrombotic drug clopidogrel bisulfate (Plavix) targets the platelet P2Y₁₂ or adenosine receptor. This drug reduces platelet aggregation and is used to treat atherosclerosis. KO of the ADP receptor helped to define the actual target of this class of drugs⁸⁷ (TABLE 6). In addition, the KO mice have highly prolonged bleeding times and their platelets do not respond to ADP as one might anticipate. Likewise, enoxaparin sodium (Lovenox) and other heparins are used to inhibit thrombosis and act by inhibiting Factor Xa. KO of Factor X results in embryonic and neonatal death⁸⁸. All animals die within 20 days of birth and death is in all cases due to massive bleeding. In both cases, KO phenotypes have demonstrated mechanism of action of anti-thrombotic drugs.

Autonomic regulation

Two drugs that target β -adrenoceptors are Serevent, a bronchodilator for the treatment of asthma, and Toprol, an antagonist that regulates vascular tone to treat hypertension. Both of these drugs act nonspecifically on several receptors, and as a result complicate the correlation between receptor KOs and drug action. However, the KOs of the β -adrenoceptor family members have been useful for defining the targets for some drugs acting on this class of receptors, and have given some indication of the β -adrenoceptor role in the cardiovascular system^{89–101} (TABLE 6). We are unaware of any published reports directly studying bronchodilation/ restriction in receptor-KO mice.

The muscarinic M₃ receptor (CHRM₃) is a target of the drug tolterodine tartrate (Detrol), an antagonist used to treat overactive bladder. M₃-muscarinic-KO mice had larger pupils, reduced salivary response and urinary retention compared with wild-type mice¹⁰². This

urinary retention was mild in females, and an increase in bladder diameter to 5.7 mm compared with 4.2 mm for wild-type control females was observed. The urinary retention was severe in males and resulted in distended bladders with a diameter of 12.3 mm compared with 5.4 mm for male wild-type controls. The retention also led to histopathological abnormalities, including thinning of the bladder wall due to the distension and some lymphocyte infiltrations. Hydronephrosis was also detected in some of the homozygous males. These findings are consistent with the known effects of Detrol.

Dermatology

Isotretinoin (Accutane) is a form of retinoic acid (RA) used to treat acne. There are three genes encoding the RA receptor (*RAR- α* , *- β* and *- γ*) and each encodes two isoforms. *Rar- α* ^{-/-} mice display reduced viability and 60% of the animals die within 24 hours of birth¹⁰³ (TABLE 6). Most are growth retarded and die within 2 months. Many have webbed fore- and hindlimbs. Those surviving past 2 months appear normal, but males are infertile and show abnormalities in spermatogenesis. *Rar- β* ^{-/-} mice, in contrast, are normal and fertile¹⁰⁴. *Rary* mutation results in growth retardation, early lethality, male sterility, tracheal cartilage malformations and homeotic transformations of the skeleton¹⁰⁵. A number of isoform-specific mutations have also been generated, but none of these have shed light upon the use of RA for the treatment of acne. However, the developmental defects and embryonic lethality associated with the KOs are consistent with prohibition of use of Accutane during pregnancy. In fact, one could extrapolate from this finding to conclude that embryonic lethality or developmental defects associated with a target should be taken as significant cautionary data alerting preclinical researchers to potential on-target toxicity issues related to pregnancy.

Oncology

Cancer is an area where many of the standard drugs are chemotherapies that act upon some of the basic house-keeping machinery of the cell to kill rapidly dividing cells. Indeed, most of the current drugs for cancer therapy directly target DNA, DNA metabolism, or mitosis and cell-cycle control points. Therefore, KO of many targets for oncology might be predicted to result in

Table 7 | Best-selling drugs and KO-mouse phenotype: oncology

Drug target	Drug name (utility)	2001 Sales*	Mouse phenotype
Topoisomerases	Camptosar (colorectal)	\$513.0	Premature senescence.
Estrogen receptor	Premarin (menopause/osteoporosis)	\$2,074.0	Reproductive defects, reduced bone mineral density.
	Evista	\$665.0	
	Nolvadex (breast)	\$630.0	
Luteinizing-hormone-releasing hormone	Lupron (prostate)	\$833.0	Luteinizing hormone receptor: hypogonadism and reduced steroidogenesis.
	Leuplin (prostate)	\$689.0	
	Zoladex (prostate)	\$728.0	
CD20	Rituxan (non-Hodgkin's lymphoma)	\$819.0	Depletion of a subpopulation of B cells.

*US \$ in millions.

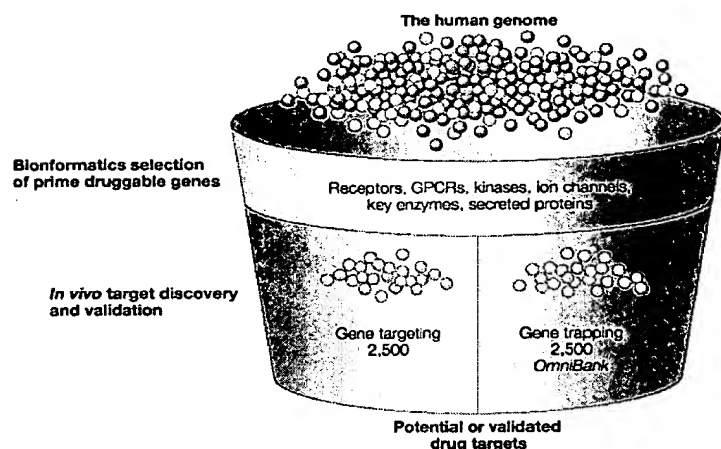


Figure 2 | Knocking out the druggable genome: The Genome5000 Program. This figure depicts the process of using bioinformatics to mine the druggable genes from the human genome, identify and knockout their mouse orthologs by gene targeting or gene trapping and analyze the resulting phenotypes to predict the best targets for drug discovery. GPCRs, G-protein-coupled receptors.

embryonic lethality or to exert global effects on cellular metabolism and organism growth. For instance, DNA-modifying agents and inhibitors of β -tubulin and TOPOISOMERASES are common chemotherapies that not only inhibit tumour growth, but also exert toxic effects on normal tissues that contain rapidly dividing cell populations. A number of drugs, such as irinotecan HCl (Camptosar), act by inhibiting DNA synthesis through the inhibition of topoisomerase. There are multiple topoisomerases and one that has been knocked out, topoisomerase III β , results in animals with a lifespan that is decreased by about 50% relative to wild-type mice¹⁰⁶ (TABLE 7). These mice have pathological abnormalities in multiple tissues. These results suggest a role for topoisomerases in cell senescence and are in agreement with mutations in human topoisomerases that lead to premature senescence, such as that seen in WERNER'S SYNDROME. As cancer causes a loss in normal cell senescence, such a phenotype might direct one to develop drugs to drive cancer cells to senesce.

Certain cancer targets exert tissue-specific cell-cycle control based on the role of the targets in normal growth and development of particular tissue types within the body. The oestrogen receptor, for example, has already been discussed as a target for breast cancer. Another nuclear hormone receptor — leutenizing-releasing hormone receptor — is the target of anti-cancer drugs because of its normal role in gonadal development. Synthetic analogues of leutenizing-hormone-releasing hormone (LHRH), such as goserelin acetate (Zoladex), leuprolide (Lupron) and leuproline (Leuplin), are used to treat prostate cancer. Chronic LHRH treatment results in downregulation of leutenizing hormone (LH) release from the pituitary, and ultimately blocks testicular and ovarian steroidogenesis. LH binds to the LH receptor and KO of this receptor results

in dramatically reduced growth and development of the reproductive tract and gonads¹⁰⁷. This hypogonadism would also result in decreased testosterone production. Blockade of LH action for the treatment of prostate cancer makes sense relative to the KO phenotype.

Some of the latest, more specific, cancer targets are expressed only in or at a higher level in the cancerous cells (BCR-ABL in the case of imatinib mesylate (Gleevec), ERBB2 (also known as HER2/neu) in the case of trastuzumab (Herceptin) and CD20 in the case of rituximab (Rituxan)). CD20 is the target of the rituximab antibody used to treat non-Hodgkin's lymphoma. KO of CD20 results in a depletion of a subpopulation of B lymphocytes¹⁰⁸.

Going forward with reverse genetics

This retrospective study indicates that KO mice can be highly informative in the discovery of gene function and pharmaceutical utility for a drug target, as well as in the determination of the potential on-target side effects associated with a given target. It should not be surprising that gene function and physiology are so well conserved between mice and humans, as they are both mammals and contain similar numbers of genes, which are highly conserved between the species. It has recently been well documented, for example, that 98% of genes on mouse chromosome 16 have a human ORTHOLOG¹⁰⁹. One might argue that some of the phenotypes were only found because the action of the drug was already known; however, that does not change the fact that the phenotypes were present and would have been readily detected by a well-designed phenotypic screen.

Mouse genetics is increasingly being used prospectively to identify the next targets for pharmaceutical discovery. Based on the literature reviewed here concerning the targets of marketed drugs, it seems obvious that these prospective studies are likely to provide a productive source of targets for future drug development. This is already happening and a number of drugs in pharmaceutical and biotechnology pipelines are being developed against targets whose function has been determined using mouse genetics. These targets include cathepsin K, melanin-concentrating hormone receptor (MCH₁-R), melanocortin receptors 3 and 4 (MC₃-R and MC₄-R), stearoyl-CoA desaturase and acetyl-CoA carboxylase 2 (ACC2). In the case of cathepsin K, KO mice for this protein have osteopetrosis due to defects in bone resorption¹¹⁰ and small-molecule inhibitors are now being advanced for the treatment of osteoporosis. Likewise, MC₃-R- and MC₄-R-KO mice show obesity effects^{111,112} and agonists of these receptors are being developed to treat obesity. Finally, Mch₁-R-KO mice are lean, hyperactive and hyperphagic^{113,114} and *Acac*²⁷⁴ and stearoyl-CoA desaturase KO mice also have lean phenotypes¹¹⁵. Antagonists of MCH₁R and inhibitors of ACAC2 and stearoyl-CoA desaturase are being developed to treat obesity.

Knocking out the druggable genome

We have an ongoing five-year program to mine the top 5,000 potential drug targets from the human genome, to systematically knockout their mouse orthologs and

TOPOISOMERASE
Enzymes that change the degree of supercoiling in DNA by cutting one or both strands.

WERNER'S SYNDROME
A disorder causing accelerated aging consisting of scleroderma-like skin changes, bilateral juvenile cataracts, progeria, hypogonadism, and diabetes mellitus; it results from the autosomal recessive inheritance of a mutation in a topoisomerase gene.

ORTHOLOGOUS GENE
Homologous gene in different species, the lineage of which derives from a common ancestral gene without gene duplication or horizontal transmission.

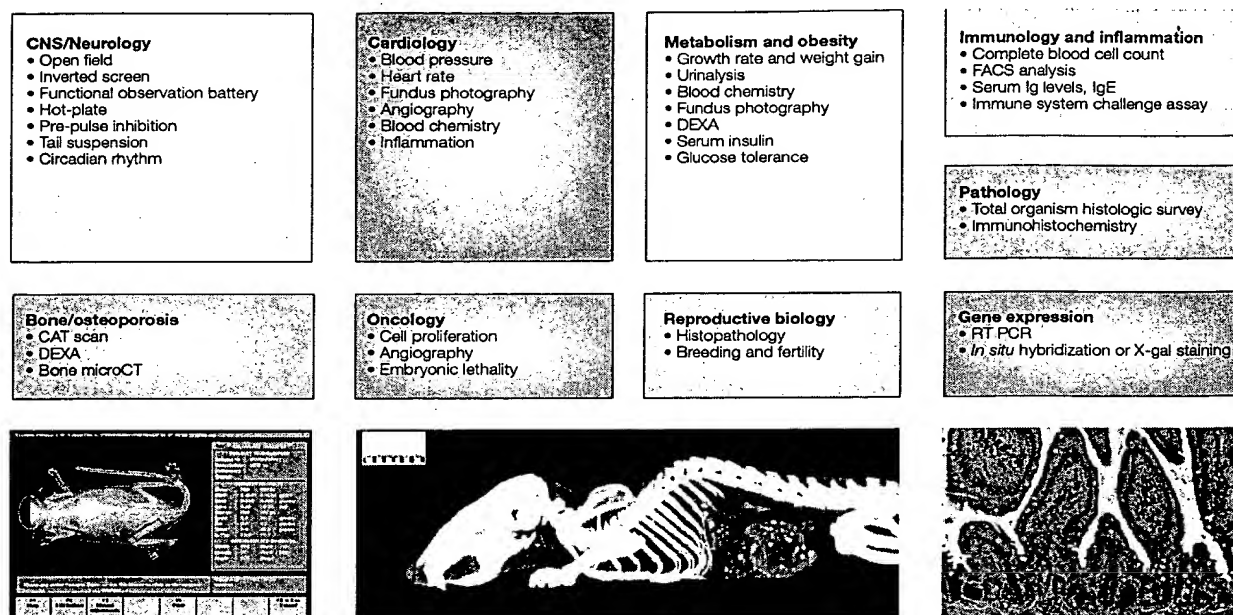


Figure 3 | **Comprehensive phenotypic screen for drug targets.** This figure summarizes the primary phenotypic screen that is carried out on all genes in the Genome5000 program. The screen was designed to identify new drug targets based on the knockout phenotypes of known drug targets and unmet medical needs. DEXA, dual energy X-ray absorptiometry; MicroCT, computed tomography; CAT, computer assisted tomography; FACS, fluorescence-activated cell sorting; Ig, immunoglobulin.

to determine their *in vivo* function in the context of mammalian physiology (FIG. 2). The scope of this program is sufficient to cover all secreted proteins as well as all members of the druggable gene families, such as GPCRs, ion channels, nuclear hormone receptors, proteases, phosphodiesterases, kinases, phosphatases and other key enzymes that together have been estimated to number as few as 3,051 genes². After production of KO mice, a primary phenotypic screen is used to identify drug targets in cardiology, metabolism, immunology, neurology, psychiatry, ophthalmology, osteoporosis, reproductive biology and oncology (FIG. 3). Assays in the primary screen have been benchmarked with the administration of known drugs and carefully selected to be informative on the basis of knowledge of the phenotypes of known drug targets and unmet medical needs. The screen is designed to identify targets that meet three key criteria: (1) modulation of the target by a small molecule, antibody or therapeutic protein could provide significant therapeutic effect with minimal or no discernable on-target side effects; (2) the target represents a potential breakthrough for the treatment of disease with significant advantages over existing therapies; and (3)

the program addresses a major unmet medical need associated with a large medical market.

Having now analyzed more than 750 potential targets *in vivo*, our experience suggests that after completing 5,000 'druggable' genes, 100–150 new, high-quality targets may be identified. This number is considerably more modest than the 5,000 to 10,000 targets suggested by some. However, given the number of targets currently used by the pharmaceutical industry and the low number of new targets commercialized each year, it appears much more realistic and represents at least a doubling of the number of targets currently fueling the pharmaceutical industry.

Summary

The data presented in this retrospective study of KOs of the top drug targets demonstrates the strong correlation that exists between phenotypes, mechanism of action and utility of associated therapeutics. It is clear that reverse genetics enabled by genome-wide KO technologies defines a path forward for the biopharmaceutical industry to discover the next generation of blockbuster therapeutics based on novel targets from the human genome.

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